



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	METHOD AND APPARATUS FOR PORTFOLIO TRADING USING MARGIN																																												
<p>Application Number: 10/644891</p> <p>Confirmation Number: 6367</p> <p>First Named Applicant: Steven Wallman</p> <p>Attorney Docket Number: 1061/6</p> <p>Art Unit: 3624</p> <p>Search string: (5812987 or 6219650 or 6275814 or 6360210).pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>MO</td><td>1</td><td>5812987</td><td>1998-09-22</td><td>Luskin et al.</td><td></td><td>705</td><td>36</td></tr><tr><td>I</td><td>2</td><td>6219650</td><td>2001-04-17</td><td>Friend et al.</td><td>B1</td><td>705</td><td>36</td></tr><tr><td>↓</td><td>3</td><td>6275814</td><td>2001-08-14</td><td>Giansante et al.</td><td>B1</td><td>705</td><td>36</td></tr><tr><td>✓</td><td>4</td><td>6360210</td><td>2002-03-19</td><td>Wallman</td><td>B1</td><td>705</td><td>36</td></tr></tbody></table> <p>Signature</p> <table border="1"><thead><tr><th>Examiner Name</th><th>Date</th></tr></thead><tbody><tr><td>/Matthew Osborne/</td><td>04/27/2007</td></tr></tbody></table>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	MO	1	5812987	1998-09-22	Luskin et al.		705	36	I	2	6219650	2001-04-17	Friend et al.	B1	705	36	↓	3	6275814	2001-08-14	Giansante et al.	B1	705	36	✓	4	6360210	2002-03-19	Wallman	B1	705	36	Examiner Name	Date	/Matthew Osborne/	04/27/2007
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass																																						
MO	1	5812987	1998-09-22	Luskin et al.		705	36																																						
I	2	6219650	2001-04-17	Friend et al.	B1	705	36																																						
↓	3	6275814	2001-08-14	Giansante et al.	B1	705	36																																						
✓	4	6360210	2002-03-19	Wallman	B1	705	36																																						
Examiner Name	Date																																												
/Matthew Osborne/	04/27/2007																																												